

Enterprise Risk Management Canada

Capitalizing on the Skills Essential to Sustain an Enterprise-Wide Risk Strategy

August 30-31, 2011
Fairmont Royal York | Toronto, Ontario

Conference Chairperson:

Rob Quail
Senior Manager, Enterprise Risk Management
Hydro One

Attending this Premier **marcus evans** Conference will Enable You to:

- **Embed** risk management considerations into the ongoing decision making process
- **Deliver** tangible values for ERM initiatives to senior management
- **Assess** risk to better mitigate and profit from it
- **Quantify** the previously unquantifiable
- **Solve** enterprise-wide risks before they occur
- **Identify** what regulators and ranking agencies see as relevant within ERM programs

Who Should Attend:

marcus evans invites Chiefs, SVPs, VPs, Directors, GMs, Heads, Managers and Advisors with responsibilities in:

- Enterprise Risk Management
- Risk Management
- Internal Audit
- Corporate Compliance
- Controller
- Internal Controls and Compliance
- IT Audit
- Treasury
- Strategic Planning

Exhibitor:



Media Partners:



“Inspire profit and growth through a vigorous ERM program.”

Find clarity and Peace of Mind in a tense economic environment by gaining skill and knowledge in forecasting and mitigating risk through ERM.



Featuring Case Studies from Leading Industry Experts:

Nico Meijer
Executive Vice President and Chief Risk Officer,
BMO Capital Markets; Enterprise
Risk and Portfolio Management
BMO Financial Group

Howard Rosen
Senior Director, Enterprise Risk Management
Standard & Poor's

Elaine Stanley
Associate Vice President,
Enterprise Risk Management
Canadian Tire Corporation

Colin Shaw
Director, Internal Audit
Greater Toronto Airports Authority

Rob Quail
Senior Manager, Enterprise
Risk Management
Hydro One

Adi Agrawal
Director, Enterprise Risk Management
CME Group

Timothy Spillane
Director, Global Risk
Management and Compliance
Pfizer

Kern Kalideen
Director, Operational Risk
RBC Insurance

Aileen Madden
General Auditor
Air Canada

Samir Chhelavda
Chief Auditor
Enbridge Gas Distribution

Ginnie Welsman
Vice President, Operational Risk Management
Sun Life Financial

Rob Hutchison
Director, Canadian Division IS Security
and Risk Officer
Manulife Financial

John Wightman
Director, Enterprise Programs
Scotiabank

Jody Hamade
Director, ERM and Corporate
Portfolio Risk Management
Ontario Power Generation

Gian Di Giambattista
Director, Emergency Management
Ontario Power Generation

Al Gier
Director, Global Risk
Management and Insurance
General Motors

DEFINING AND ADDRESSING ENTERPRISE RISK MANAGEMENT

8:00 Registration and Morning Networking

8:50 Chairperson's Opening Remarks

9:00 Keynote Presentation

Defining Risk Appetite and Tolerance to More Effectively Analyze Risk

- Discovering how to identify risk appetite and align this with Board of Director expectations
- Exploring how much risk is acceptable and what the possible pitfalls may be
- Uncovering risk exposure and the key issues surrounding it
- Coordinating risk tolerance cross-enterprise

Nico Meijer, Executive Vice President and Chief Risk Officer, BMO Capital Markets; Enterprise Risk and Portfolio Management
BMO Financial Group

9:45 Case Study

Adapting an Enterprise-Wide Approach to Involve All Levels of Management in the Process and Application of Risk Mitigation

- Integrating ERM into existing business process and practices
- Identifying who owns ERM in an organization and where ERM sits
- Marketing ERM to the organization horizontally
- Questioning the accountability surrounding ERM

Rob Quail, Senior Manager, Enterprise Risk Management, **Hydro One**

10:30 Interactive Roundtable Preparation

During this session the delegation will be asked to participate in the topic selection process for today's topical lunch. Attendees will have the opportunity to choose from various topics for discussion specific to the banking/financial services or the broader cross-industry discipline.

Timothy Spillane, Director, Global Risk Management & Compliance, **Pfizer**
John Wightman, Director, Enterprise Programs, **Scotiabank**

10:40 Networking Break

11:15 Interactive Panel Discussion

Addressing How to Implement and Follow Through on Mitigation Plans Once Risks Are Identified

- Determining how to best mitigate risk through an enterprise-wide approach
- Establishing follow up on potential risks, how and when to hold people accountable
- Communicating plans for mitigation both vertically and horizontally
- Discovering how to lessen the possibility of a communication lag

Moderator:

Samir Chhelavda, Chief Auditor, **Enbridge Gas Distribution**

Panelists:

Timothy Spillane, Director, Global Risk Management & Compliance, **Pfizer**

Aileen Madden, General Auditor, **Air Canada**

Al Gier, Director, Global Risk Management and Insurance, **General Motors**

ANALYZING AND ASSESSING ERM PRIORITIES

12:00 Case Study

Characterizing Risks Within a Framework for Making Quality Strategic Decisions While Embracing Uncertainties

- Discussing an approach to characterizing risks by reviewing the anatomy of a risk
- Understanding the distinctions and connections between uncertainties, decisions and values
- Applying the framework of risk characterization by illustrating how uncertainties and decisions impact value
- Examining how the framework can evolve risk management capabilities

Adi Agrawal, Director, Enterprise Risk Management, **CME Group**

12:45 Topical Luncheon

1:45 Reporting Out

2:15 Case Study

Managing the Reporting of Enterprise-Wide Risks to Senior Management

- Uncovering how to get the continued buy in and support from the Board of Directors and audit committee
- Assessing how frequently to report – on a time line, or needs basis?
- Investigating the role of senior leadership in enterprise risk management
- Identifying how to best communicate vertically

Ginnie Welsman, Vice President, Operational Risk Management, **Sun Life Financial**

3:00

Implementing a Sound and Effective Legislative Compliance Management (LCM) Program

Case Study

- Learning what an LCM program looks like and how to adapt it to fit for your organization
- Exploring LCM and how it ties into an overall enterprise-wide program
- Utilizing LCM to develop the reporting structure of an organization
- Understanding what the role of senior management, specially the CRO, is in LCM and ERM

John Wightman, Director, Enterprise Programs, **Scotiabank**

3:45 Networking Break

4:15 Case Study

Expecting the Unexpected: Taking a Look at Current Events to Better Understand How To Take Control of an Unforeseen Event

- Analyze current situations such as the financial crisis to answer, "What went wrong?"
- Utilizing strategies such as velocity and resilience to protect against black swans
- Exploring how to identify the issues that could be unforeseen
- Uncover tactics for what to do when an unanticipated event occurs

Jody Hamade, Director, ERM and Corporate Portfolio Risk Management

Ontario Power Generation

Gian Di Giambattista, Director, Emergency Management

Ontario Power Generation

5:00 Chairperson's Closing Remarks

5:15 Drinks Reception

6:15 End of Day One

Testimonials:

"The caliber of speakers and access to them is a rare best, an outstanding and memorable experience. The attendees are also outstanding and opportunity to talk to all people. Ultimate setting also makes this conference rare."
Associate Vice President, **Booz Allen Hamilton**

"Enjoyed the event. There was something learned from each speaker which made it valuable and a good investment of my time."
Director, Enterprise Compliance, **Wyndham Worldwide Corporation**

"**marcus evans** conferences produce an excellent forum for a comprehensive exchange of experiences, methods and practices as well as the evolving regulatory compliance financial reporting and risk governance and compliance landscape."
Vice President, Financial Control and Compliance, **CSC**

Sponsorship Opportunities

Does your company have solutions or technologies that the conference delegates would benefit from knowing? If so, you can find out more about the exhibiting, networking and branding opportunities available by contacting: **Darrin Grove**, 312 540 3000 ext 6345, darrin@marcusevansch.com.

PRODUCER INFO

I would like to thank all of the people involved in making this program a success. A special note of thanks goes to the speakers and presenters at the conference who have shared their skills and expert knowledge amongst leading professionals and delegates. **Nicole Wilsey**, Conference Producer, Nicolew@marcusevansch.com.

8:00 Registration and Morning Networking

8:50 Chairperson's Opening Remarks

SETTING AND MAINTAINING EXPECTATIONS AND STANDARDS FOR ERM

9:00 Keynote Presentation

ERM Outlook – Where is ERM Going?

- Understanding how external issues are effecting ERM as a whole
- Diagnosing market risks and how ERM is effected by the market
- Exploring how to keep ERM growing as a positive value for the organization as a whole
- Developing a long-term plan for ERM industry wide

Howard Rosen, Senior Director, Enterprise Risk Management, **Standard & Poor's**

9:45 Case Study

Leveraging the Three Lines of Defense Model to Clarify The Role of Internal Audit in an Effective Risk Management Process

- Assessing the design of the risk management processes through an assurance or consulting engagement or both
- Validating the reporting of "key" risks, including the effectiveness of risk responses
- Demonstrating, ultimately, the value-add as the eyes and ears for both management and the Board

Colin Shaw, Director, Internal Audit, **Greater Toronto Airports Authority**

10:30 Networking Break

11:00 Interactive "Pens Down" Discussion

This conversation has been designed to allow the delegation to examine various technical issues and strategies to mitigate potential risks surrounding Advanced Persistent Threats (APT) to an organization and will allow for the open flow of ideas and give way to the creation of valuable takeaways.

Rob Hutchison, Director, Canadian Division IS Security and Risk Officer **Manulife Financial**

11:45 Case Study

Examining Reputation Risk to Prevent The Loss of Organizational Stature and Character

- Comprehending why we must prevent reputation risk
- Exploring how to successfully manage reputation risk
- Understanding how Enbridge Gas Distribution proactively manages reputation risk
- Case Study: Two examples at Enbridge of how we successfully responded to reputation risk events

Samir Chhelavda, Chief Auditor, **Enbridge Gas Distribution**

12:30 Networking Luncheon

1:30 Case Study

Increasing Stakeholder Engagement and Value by Developing Strategies for Heightened Involvement

- Addressing how to get stakeholders involved in the determination of risks
- Understanding how to best approach stakeholders and get unbiased results
- Delivering the value of ERM and a return on investment to stakeholders
- Recognizing the benefits and pitfalls of stakeholder involvement

Elaine Stanley, Associate Vice President, Enterprise Risk Management **Canadian Tire Corporation**

REPORTING ON ERM AND KEEPING STAKEHOLDERS INVOLVED

2:15 Case Study

Explaining the Importance and Functionality of an Operational Risk Management (ORM) Program

- Adapting an ORM program at your organization; learn the building blocks to attain an accomplished program
- Examining ORM and how it relates to ERM and other types of risk
- Understanding Risk Control Self Assessments (RCSA), the importance of implementation, and the RCSA approach
- Uncovering how to get the organization involved and internal marketing of ORM

Kern Kalideen, Director, Operational Risk, **RBC Insurance**

3:00 Networking Break

3:30 Case Study

Adapting and Sustaining an IT Risk Management in an Ever-Changing Environment

- Determining how and when to change your IT strategy
- Discovering how to deal with the inevitable challenges and setbacks surrounding IT
- Distinguishing how to engage the enterprise in IT Risk
- Assessing how to celebrate risk management and its accomplishments
- Uncovering how to update staffing levels, vision, strategy, and best practices

Rob Hutchison, Director, Canadian Division IS Security and Risk Officer **Manulife Financial**

4:15 Chairperson's Closing Remarks

4:30 End of Conference

Exhibitor:



Cura Software makes governance, risk and compliance management more effective and efficient. Hundreds of organizations worldwide rely on Cura Software to comply with industry regulations, optimize risk management and gain better visibility into business operations. To learn more about Cura and our governance, risk and compliance management solutions, visit www.curasoftware.com.

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